

An evaluation of the capacity-building effects of participatory GIS (PGIS) for public participation in land use planning

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1 **An evaluation of the capacity-building effects of participatory GIS (PGIS) for public**
2 **participation in land use planning**
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4
5 **Abstract**

6 Spatial participatory methods called “participatory GIS” (PGIS) are intended to improve
7 public participation for land use planning. An internet PGIS was implemented in Perlis,
8 Malaysia, to examine the public capacity-building effects of PGIS. Two delivery modes
9 (*facilitated* and *self-administered*) were evaluated. We found that PGIS significantly
10 enhanced perceived public knowledge about place and land use planning while increasing
11 spatial technology skills, regardless of implementation mode. The results indicate that PGIS
12 can increase public capacity for participating in land use planning, an important finding for
13 developing countries with historically low levels of public participation and low public
14 awareness and knowledge of planning.

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19 capacity-building

20

21 **1. Introduction**

22

23 Citizen participation is viewed as “a cornerstone of democracy” (Roberts, 2004, p.
24 315) and a “virtuous” strategy to increase the legitimacy and democracy of the public policy-
25 making process (van der Heijden and Heuvelhof, 2012). Effective public participation not
26 only incorporates public values into decisions, but improves the substantive quality of
27 decisions, helps to resolve conflict, builds trust, and educates and informs the public (Beierle
28 and Cayford, 2002). An effective public participation process also builds public capacity
29 through enhancement of participant knowledge and opportunities for social learning that help
30 people develop the confidence and skills necessary for them to achieve their purpose (Wilcox,
31 1994). Capacity building is a precursor to participation in that ordinary people are unlikely to
32 take action or responsibility without sufficient capacity for participation (Warburton, 1998).
33 Public participation in land use planning is more likely to be effective if people are equipped
34 with the necessary skills and knowledge to play their part.

35 Beirele (1999) has argued that the knowledge requirements for active public
36 participation in environmental decisions are too ambitious except in a few cases (p. 82). In
37 contrast, Brown (2016) offered a more optimistic view that public participation processes, if
38 structured properly, can tap into “crowd wisdom” to inform complex land use planning
39 activities that are traditionally considered the domain of experts. In view of these contrasting
40 perspectives, participatory geographic information systems (PGIS) have emerged that call
41 upon citizens to participate in planning activities that require spatial knowledge and
42 understanding of place. An important research question is the extent to which these newer
43 spatial participatory methods can be effectively implemented with a non-expert, lay public
44 while concurrently building capacity for public participation in future planning activities. We
45 address this research question in the context of a developing country, Malaysia, which has
46 historically lacked high levels of public participation and engagement with land use planning
47 activities.

48 The relatively rapid development of participatory GIS (PGIS) methods and
49 applications has out-paced research to fully evaluate their effectiveness. Early studies
50 investigated how PGIS could help citizens participate in the delivery and management of
51 everyday services in their neighbourhood (Kingston et al., 2001) while describing the
52 challenges associated with implementing new technologies for on-line decision support
53 systems such as training, internet access, and copyright issues (Carver et al., 2001). Multiple
54 PGIS studies examined the potential of geospatial technologies to empower broader publics in

55 land use decisions and governance (Corbett and Keller, 2005a and 2005b; McCall and
56 Minang, 2005; Tsai et al., 2013) while more recent studies have focused on PGIS usability
57 (Bugs et. al, 2010; Gottwald et al., 2016), data quality (Brown, 2012a; Brown et al. 2014), and
58 sampling effects and bias (Brown, 2016). In a recent review of spatial public participation
59 methods, Brown and Kyttä (2014) noted the continuing need to evaluate the effectiveness of
60 participatory mapping applications in providing decision support given their relatively low
61 adoption rate by government and non-government agencies (Brown, 2012b). To date,
62 relatively little research has formally assessed the effects of spatially-explicit participation
63 methods on participant knowledge and capacity-building, the focus of this study.

64

65 *1.1 Participant Knowledge, Place Familiarity, and PGIS Useability*

66

67 People living in the vicinity of the planning area or that may be otherwise affected by
68 planning decisions possess cognitive ability acquired through the experience of living in the
69 area (McCall, 2003). This cognitive ability is often referred to as indigenous spatial
70 knowledge. Cognitive ability refers to an “individual’s capacity to think, reason and problem
71 solve” (Cheung et al., 2015) and includes working experience, memory, attention, and spatial
72 abilities (Czaja and Lee, 2007). The aim of PGIS is to facilitate the inclusion of knowledge
73 and experiences relevant to land use and development, especially from local and marginalized
74 community groups such as ethnic minorities and indigenous communities in the decision-
75 making processes. The use of participatory mapping in a planning process often includes
76 stakeholders, broadly defined as those who may be affected by the plan or project outcomes.
77 Although the purpose of PGIS is not to collect information better provided by experts,
78 previous studies indicate that lay people can provide spatial information that is generally
79 consistent with expert-derived spatial data (Brown, 2012a; Cox et al., 2014 & 2015; Brown et
80 al., 2014; Brown, 2015).

81 According to Brown (2012b), the cognitive challenge of spatially mapping place-
82 specific attributes is related to the level of expertise or scientific knowledge required of
83 participants. Among the many spatial attributes that have been mapped in PGIS applications,
84 environmental variables such as ecosystem services require the highest levels of expertise. In
85 contrast, participant identification of place-based values, activities, experiences, and
86 development preferences are grounded in life experience and do not require a high level of
87 technical expertise. These latter attributes provide important information for land use planning

88 decision support as they help identify the compatibility of potential land uses with social
89 acceptability criteria.

90 PGIS studies indicate that individuals with greater familiarity and experience with the
91 planning area will tend to provide greater spatial information (Brown, 2005; Brown and
92 Weber, 2013; Brown and Reed, 2009). This may be viewed as a positive bias because
93 knowledge of the area results in better information about place qualities (Brown (2012b).
94 However, the lack of population representativeness, especially from PGIS participatory
95 processes that are exclusively volunteer, can lead to mistrust of the information for planning
96 decision support.

97 PGIS usability is a part of the human computer interaction (HCI) discipline, which
98 refers to evaluating whether an application works and has met its design goals according to
99 the user's needs (Nielsen, 1993). Meng and Malczewski (2010) assert that there is a strong
100 relationship between a system's usability and public engagement. Usability in PGIS has
101 tended to focus on the general public rather than a specific user group (Haklay and Tobón,
102 2003; Poplin, 2015) with multiple studies assessing the usability of PGIS applications (see
103 Sidlar and Rinner, 2007; Aditya, 2010; Bugs et al., 2010; Gottwald et al., 2016). For example,
104 Sidlar and Rinner (2007) found that participants were generally satisfied with the usability of
105 the mapping tool but suggested map navigation, display of discussion contributions, and
106 online status of participants as added features to improve functionality. However, increasing
107 mapping application functionality can also increase the interface complexity, hindering
108 elderly and less technically skilled people from using it (Steinmann et al., 2005; Gottwald et
109 al., 2016). Bugs et al. (2010) found that easy-to-use features will help eliminate substantial
110 problems in using the mapping tools, but participant capacity is still a concern in settings
111 where the general population lacks experience with the intended purpose of PGIS (in this
112 case, land use planning) and internet mapping technology.

113

114 *1.2 Study Context and Research Questions*

115

116 In Malaysia, the Town and Country Planning Act 1976 (Act 172) states that the
117 Director General of Town and Country Planning Department has a statutory obligation “to
118 provide information and education to the public regarding town and country planning”
119 (Malaysia, 2006, p.16). However, the evidence from studies on public participation in
120 Malaysia reveal that the public lacks planning-related information and awareness (Omar and

121 Leh, 2006; Maidin, 2011; Marzuki et al., 2012) due to low levels of public involvement,
122 suggesting limited public capacity for participating in land use planning activities. Further,
123 there have been no PGIS processes implemented in Malaysia to assess the potential of
124 participatory mapping methods for land use planning. The historical lack of public
125 participation in land use planning in Malaysia, combined with a lack of familiarity with
126 participatory mapping methods, provides an opportunity to examine the potential of PGIS to
127 increase participant capacity for land use planning activities and to evaluate the effectiveness
128 of different modes of PGIS implementation with a public that has no experience with
129 participatory mapping.

130 In this study, spatial data in the form of place values and land use preferences were
131 solicited from a lay public in Perlis, Malaysia using two modes of PGIS implementation,
132 *facilitated* and *self-administered*. The *facilitated* mode assumes limited participant knowledge
133 and experience with land use planning in general, and internet-based mapping in particular. A
134 facilitator assists the participant in starting and completing the mapping process. The *self-*
135 *administered* mode, the most common type of PGIS implementation in developed countries,
136 directs prospective participants to a web-based mapping application where the participant
137 provides spatial information without any direct human assistance.

138 This research assesses the potential effects of the PGIS process on participant
139 perceived knowledge gain as an indicator of increased capacity for public participation in land
140 use planning, and whether this effect differs by PGIS mode of implementation. The specific
141 research questions we sought to answer were as follows: 1) does PGIS enhance perceived
142 knowledge of place, land use, and mapping technology as a result of participation, and 2) does
143 the PGIS mode of implementation (*facilitated* vs. *self-administered*) influence participant
144 capacity to provide information in support of land use planning.

145

146 2. Methods

147 2.1 Study area

148 The study was conducted in the northern state of Perlis, Malaysia, comprising an area
149 of 821 km², and a population of about 240,000. The state is bordered by the country of
150 Thailand to the north, the state of Kedah to the south, and the Straits of Malacca to the west.
151 The state is zoned for a wide range of land uses, including high density urban areas for
152 business and services, residential, industrial and community facilities, and non-built areas
153 such as forest, agriculture, and water bodies. The current land use in the study area is
154 dominated by agricultural land use (54,560 hectares) and forestry land (12,179 hectares)

155 (Town and Country Planning Department, 2009). The state of Perlis falls under the
156 jurisdiction of only one local authority, the Kangar Municipal Council (MPK) whose
157 development objective is to internationalize the state of Perlis through a strong regional
158 economic foundation that offers high quality of life within a sustainable environment (Kangar
159 Municipal Council, 2011).

160 **[Insert Figure 1 here]**

161
162 *2.2 PGIS mapping and survey questionnaire*

163 We developed a website using a Google Maps Application Interface (API) for PGIS
164 mapping and for recording responses to non-spatial survey questions related to the study. The
165 website had the following features: (1) a welcome page where an access code is entered and
166 validated, (2) an informed consent screen, (3) a set of text-based pre-mapping survey
167 questions to identify the residence of participants, how they learned about the study, and their
168 self-assessed familiarity of land uses in Perlis, (4) a Google Maps screen with three panels of
169 digital markers that participants drag and drop onto the study area map (see Figure 2), and (5)
170 a webpage with additional text-based survey questions (post-mapping).

171

172 **[Insert Figure 2 here]**

173
174 On the mapping page, the standard Google Maps navigational tools were available for
175 respondents to zoom and pan the map to different locations to place the markers. No limit was
176 placed on the number of markers that participants could place on the map. In the instructions
177 section of the web page, the participants were encouraged to place at least 20 markers. Each
178 marker type and location placed by the participants was recorded in a webserver database for
179 later download and analyses. The mapping application contained 24 icons/markers
180 representing spatial attributes for land use planning. Seven place values (aesthetic/scenic,
181 nature, history/heritage, recreation, economic, spiritual, special place) were adapted from the
182 regional participatory mapping studies implemented by Brown (2005; 2006) and a new 'built
183 environment' value was added to identify areas valued for human space and activities. In
184 addition, there were 16 development preferences where participants could identify locations
185 they considered *acceptable* or *not acceptable* for a given type of development. The *acceptable*
186 development preferences (residential, community facilities, industrial, agriculture,

187 environment protection, public parks and open space, tourism, other development) were
188 selected based on their relevance to general land use planning and their consistency with
189 specific zoning classes contained in the Perlis land use plan. The parallel set of development
190 preferences (*acceptable* and *not acceptable*) for each type of development provided
191 participants with the opportunity to express multiple preferences for a given location. For
192 example, a participant could identify an area as *acceptable* for residential development, but
193 also identify the same area as *not acceptable* for commercial/industrial development.

194 There were two pages of text-based survey questions on the website. The first survey page
195 was designed to collect information about the respondents and their perceived knowledge
196 prior to participating in the mapping activity. The final web page consisted of a series of text-
197 based survey questions to assess participant perceived knowledge after the mapping activity.
198 A series of survey questions with Likert-scale responses was formulated to assess participant
199 perceptions toward the use of the PGIS and the cognitive challenges they encountered in the
200 process.

201

202 *2.3 Data Collection Procedure*

203 The study used non-probability, purposive sampling for participant recruitment. The
204 study was conducted between August and November 2014 with participants limited to Perlis
205 residents over the age of eighteen. Two different PGIS modes were implemented for data
206 collection, referred to as *facilitated* and *self-administered*. In the facilitated mode,
207 participants were recruited by the researcher and completed the internet-based PGIS survey in
208 the presence of the researcher. The facilitated approach was an appropriate method given that
209 a web-based PGIS survey is considered a novelty in the study area and administering the
210 survey face-to-face allowed the researcher to explain, monitor, and provide technical
211 assistance, especially during the mapping component of the survey. The PGIS participants
212 from the self-administered group were individuals who accessed the PGIS website without the
213 presence of a researcher.

214

215 *2.3.1 Facilitated PGIS*

216

217 To ensure consistency during the facilitated PGIS recruitment process, a standard
218 recruitment procedure (script) was developed and followed. The researcher established nine
219 workstation locations in the study region (Kangar, Kuala Perlis, Arau, Padang Besar, Beseri,

220 Pauh, Simpang Empat and Mata Ayer). Prospective participants were approached in public
221 spaces to ascertain eligibility for participation. Upon obtaining consent from a potential
222 participant, the researcher explained the potential benefits of internet-based spatial mapping to
223 collect information for land use planning. Each participant was given a unique access code to
224 login to the website. Once logged in, the participant viewed an informed consent page to
225 accept agreement to participate. Upon consent, participants proceeded to the next web page to
226 answer text-based questions about their familiarity with the Perlis study region. Once the pre-
227 mapping survey questions were completed, participants were guided to the main mapping
228 page. The researcher (facilitator) explained the instructions in detail and conducted a short
229 demonstration on how to do mapping by dragging and dropping different value and
230 preference markers onto the study area map. Respondents were given 10 to 15 minutes to
231 complete the mapping activity. The researcher continued to observe the respondent and only
232 offered technical assistance if requested. Once a participant was satisfied with the mapping
233 activity, he/she completed a set of text-based survey questions asking about the participant
234 and his or her mapping experience. The session ended by thanking the participants and
235 offering each a small, non-cash token of appreciation for their time and effort.

236

237 *2.3.2 Self-administered PGIS*

238

239 The second method used social media for participant recruitment. A Facebook® page
240 was created containing information about the study with a link to the PGIS study website. In
241 total, 48 Facebook users accessed the study website with 24 individuals fully or partially
242 completing the study. A partial completion included answering the pre-mapping questions and
243 placing one or more markers on the map. A full completion included mapping and answering
244 the post-mapping survey questions that followed the mapping activity.

245

246 *2.4 Data Analyses*

247 *2.4.1 Examining knowledge change pre- and post-PGIS*

248

249 To examine the change in participant perceived knowledge about place and land use,
250 and to assess their technical skills using Google Maps, we asked the same survey questions
251 pre- and post-mapping and analyzed the results using the Wilcoxon signed-rank test given

252 that responses were not normally distributed. Three hypotheses were proposed and a critical
253 value of $p \leq 0.05$ was selected to compare pre- and post-mapping survey responses as follows:

254

255 H1₀: there is no difference in perceived knowledge about place before and after using
256 PGIS (Question: *How would you rate your knowledge of places in the state of Perlis?*
257 1=Poor/little 2=Below average 3=Average 4=Good 5=Excellent)

258

259 H2₀: there is no difference in perceived knowledge about land use planning before and
260 after using PGIS (Question: *How would you rate your knowledge of land use planning?*
261 1=Poor/little 2=Below average 3=Average 4=Good 5=Excellent)

262

263 H3₀: there is no difference in perceived knowledge/skills using Google Maps before and
264 after using PGIS (Question: *How would you rate your knowledge of using Google*
265 *Maps?* 1=Poor/little 2=Below average 3=Average 4=Good 5=Excellent)

266

267 2.4.2 Cognitive challenge, familiarity, and PGIS usability by PGIS implementation mode

268

269 Multiple survey questions were developed to assess elements of cognitive challenge
270 (n=3), the effect of place familiarity on mapping (n=2), and PGIS usability (n=5). The survey
271 questions appear in Table 2. The results of each question were analyzed by implementation
272 mode (*facilitated* vs. *self-administered*) using the Mann-Whitney U statistic to test the
273 following hypotheses:

274

275 H4₀: there is no difference in cognitive challenge between the *facilitated* and *self-*
276 *administered* groups (3 survey questions)

277

278 H5₀: there is no difference in the influence of place familiarity in the mapping of values
279 and preference between the *facilitated* and *self-administered* groups (2 survey
280 questions)

281

282 H6₀: there is no difference in PGIS usability between the *facilitated* and *self-*
283 *administered* groups (5 survey questions)

284

285 2.4.3 Assessing the quality of data by PGIS implementation mode

286

287 Two criteria were used to examine the quality of PGIS data, mapping effort and
288 logical consistency of mapped markers with land use type. Brown et al., (2012) proposed that
289 mapping effort (i.e., number of markers placed) is a reasonable proxy for spatial data quality
290 for subjective PGIS attributes (e.g., values such as scenic beauty and development
291 preferences) where traditional GIS spatial accuracy criteria cannot be applied. Mapping effort
292 was evaluated between the *facilitated* and *self-administered* participants by examining the
293 number of participants in each group that mapped the expected number of markers (20 or
294 less) or greater than the expected number (21+) of markers. A contingency table and chi-
295 square test was used to determine whether implementation mode was related to mapping
296 effort:

297

298 H7₀: there is no relationship between participant mapping effort and PGIS mode of
299 implementation

300 To determine whether the mode of implementation was related to logical consistency
301 in participant mapping, we examined the counts of agricultural and residential values that
302 were mapped in areas currently zoned for agriculture and residential land use in the Perlis
303 land use plan. Markers with values related to the zones were classified as consistent (i.e.,
304 agricultural values mapped in agricultural zones, residential values mapped in areas zoned for
305 residential use); otherwise, markers were classified as inconsistent. The following hypothesis
306 was tested using the chi-square statistic:

307

308 H8₀: There is no relationship between participant logical consistency in mapped
309 locations and PGIS mode of implementation.

310

311

312 **3. Results**

313

314 3.1 Characteristics of respondents

315

316 A total of 316 individuals participated in the study, with n=292 *facilitated* respondents
317 and n=24 *self-administered* respondents. The age of respondents ranged from 18 to 67 years
318 with 165 male (52%) and 151 female (48%) respondents.

319

320 3.2 Perceived knowledge change pre- and post-mapping

321

322 There were significant changes in participant perceived knowledge of places, land use,
323 and use of Google maps as a result of the PGIS mapping activity. Prior to PGIS mapping,
324 26% of participants rated their knowledge of places in Perlis to be “good” or “excellent”.
325 Following mapping, this percentage increased to 82%. For knowledge of land use planning,
326 the perceived knowledge rated as “good” or “excellent” increased from 11% (pre-mapping) to
327 74% (post-mapping). And self-rated knowledge of using Google Maps increased from 16% to
328 69% from pre- to post-mapping. The Wilcoxon signed-rank test was used to determine if
329 these changes were statistically significant. For all three survey questions, the differences in
330 ratings pre- and post-mapping were highly significant ($p < 0.000$). *Conclusion: there is strong*
331 *evidence for changes in participant perceived knowledge for places (H1), land use planning*
332 *(H2), and use of Google maps resulting from PGIS participation (H3).*

333

334 **[Insert Table 1 here]**

335

336 3.3 Cognitive challenge, familiarity, and PGIS usability by PGIS implementation

337

338 We assessed cognitive challenge, effect of place familiarity, and PGIS usability by
339 mode of implementation (*facilitated* vs. *self-administered*) using the non-parametric Mann-
340 Whitney U test. A large majority of participants (range 67% to 81%) agreed or strongly
341 agreed that they found identifying values, places, and areas suitable for development a
342 difficult task (Table 2). There were no statistically significant differences in any of the three
343 survey questions by implementation mode, thus the hypothesis (H4) that the cognitive
344 challenge of PGIS mapping differs by mode of implementation is not supported. The presence
345 of a facilitator did not influence the perceived difficulty of the PGIS mapping activity
346 reported by participants. *Conclusion: facilitation does not influence the perceived difficulty of*
347 *the mapping activity.*

348 There were small, but statistically significant differences ($p \leq 0.05$) in responses by
349 implementation group on two survey questions that asked about whether participants would

350 have placed more values and development preference markers if they were more familiar with
351 the area (Table 2). There was strong or very strong agreement by the majority of participants
352 (range 95% to 100%) that they would have mapped more values and preferences in PGIS had
353 they been more familiar, but the *self-administered* group had somewhat less agreement with
354 the two statements. Thus, the hypothesis (H5) of no difference in the potential influence of
355 familiarity on the number of markers that would be mapped is rejected. *Conclusion:*
356 *participants are aware that the amount of PGIS mapping is related to familiarity with the*
357 *planning area, with facilitated participants somewhat more aware of the importance of*
358 *familiarity.*

359 The majority of *facilitated* and *self-administered* participants agreed or strongly
360 agreed (range 81% to 99%) that the PGIS website was relatively easy to use, but there were
361 statistically significant differences in all survey questions related to the useability of the PGIS
362 website (Table 2). The facilitated PGIS participants found the website instructions, marker
363 symbols and definitions, map navigation, and general website easier to use than those who
364 participated on their own (Mann-Whitney U, $p \leq 0.05$ for all survey items). Thus, the
365 hypothesis (H6) that there is no significant difference in PGIS usability between the
366 *facilitated* and *self-administered* mode is rejected. The face-to-face support provided in the
367 facilitated PGIS process resulted in stronger participant perceptions that the website was
368 easier to use than those who undertook the PGIS mapping on their own. *Conclusion: the*
369 *facilitated mode had positive effects on the perceived useability of the mapping application.*

370

371 **[Insert Table 2 here]**

372

373 *3.4 Relationship between mapping effort and PGIS implementation (facilitated vs. self-*
374 *administered)*

375

376 To evaluate whether the PGIS mode of implementation was related to mapping effort
377 and thus data quality, we cross-tabulated the number of participants that mapped the expected
378 number of markers as per instructions (20 or less) with the number of participants that
379 mapped 21+ markers and performed a chi-square test of independence. The results are shown
380 in Table 3. A larger percentage of *facilitated* participants (46%) mapped 21+ markers
381 compared to *self-administered* participants (29%), suggesting that mapping effort is related to
382 PGIS implementation mode. However, the chi-square statistic was not significant at $\alpha = 0.05$
383 ($X^2 = 2.61$, $df = 1$, $p = .079$). This relationship would likely be significant at $\alpha = 0.05$ with a larger

384 sample size of self-administered participants for analysis. *Conclusion: the PGIS*
385 *implementation mode appears related to mapping effort, but the evidence is weak given the*
386 *small number of self-administered participants.*

387

388 *3.5 Relationship between logical consistency in mapping and PGIS implementation*
389 *(facilitated vs. self-administered)*

390

391 To evaluate whether there is a relationship between logically consistent mapping and
392 PGIS implementation mode, we tabulated the number of agricultural and residential value
393 markers that were mapped in areas currently zoned for agriculture and residential land use in
394 the Perlis land use plan. Each marker was classified as “consistent” or “not consistent” based
395 on its mapped location. The percentage of consistent markers were similar between facilitated
396 (46.8%) and self-administered (45.8%) participants, but the chi-square test for independence
397 was significant at $\alpha = 0.05$, ($X^2 = 20.618$, $df = 1$, $p = 0.000$). *Conclusion: facilitated*
398 *participants were more consistent in placing markers that were logically associated with the*
399 *underlying land use zoning, but the difference was small.*

400

401 **[Insert Table 3 here]**

402

403 **4. Discussion**

404 This study evaluated the potential influence of PGIS on the capacity of a lay public to
405 participate in land use planning activities in a developing country (Malaysia) characterized by
406 historically low and ineffective public participation. A presupposition of the study was that a
407 *facilitated* type of PGIS, rather than the more common type of *self-administered* PGIS, would
408 be more effective in building capacity for public participation in land use planning processes.
409 Operationally, we examined whether the PGIS process enhanced perceived knowledge
410 associated with place, land use, and mapping technology that are supportive of public
411 participation in land use planning activities, and the potential influence of PGIS
412 implementation on mapping outcomes.

413 We found that PGIS participants perceived their knowledge of places, land use
414 planning, and the use of Google maps to be greater following PGIS mapping indicating
415 positive learning outcomes. In the process of mapping, it is probable that some participants
416 discovered new insights about the place/region where they live and current land uses while
417 becoming more proficient using Google maps. Even if PGIS data were not actually used for

418 planning decision support, the results indicate PGIS helps inform citizens, thus building
419 greater social capacity for public participation. We are, however, guarded about overstating
420 the modest capacity-building outcome described in this study that only included about 300
421 participants, although the potential exists for much larger capacity-building. For example, the
422 use of participatory mapping in the Helsinki master planning process attracted 3,745
423 participants (Kahila-Tani et al., 2015).

424 The cognitive challenge associated with PGIS mapping was not influenced by the
425 presence of a facilitator. The explicit spatial identification of place values and preferences as
426 person-place relationships involves complex cognitive reasoning. Participants must reflect on
427 the values and preferences that are personally important, either explicit or tacit, while thinking
428 about places that support or constrain these values and preferences. Relating personal
429 importance to place importance is a task that most individuals can do, but people are seldom
430 asked to explicitly describe these relationships on a map. Cognitive challenge may be one of
431 the reasons why participatory GIS tends to have participation bias toward older, more highly
432 educated individuals (Brown and Kyttä, 2014). The greatest potential benefit of *facilitated*
433 PGIS is not reducing the complexity of the mapping activity, but rather recruiting and getting
434 participants to complete the PGIS activity. The face-to-face contact in PGIS recruitment
435 appears especially important in a society that lacks a historical tradition of public participation
436 and engagement with local government processes. In this study, the acceptance rate for
437 participation when approached by a facilitator was about 70 percent, a reasonable acceptance
438 rate that would not likely be achieved through random household or volunteer sampling.

439 Given the cognitive complexity of PGIS mapping, is the resulting spatial data of
440 sufficient quality to provide planning decision support in a development country context? We
441 believe so. The criteria for assessing the validity of expert GIS data quality do not apply to
442 many subjective PGIS spatial attributes such as place values and preferences, i.e., there are no
443 benchmarks for positional accuracy, attribute accuracy, or data completeness, or what is
444 termed the *validity-as-accuracy* perspective (Spielman, 2014). Rather, the validity of
445 participatory mapping data is more conducive to a *validity-as-credibility* perspective where
446 spatial data quality is linked to the credibility of the mapper. From this perspective, mapping
447 effort, or the earnestness that participants bring to the PGIS mapping process, is an important
448 proxy measure for assessing the quality of PGIS data. In this study, almost half of the
449 facilitated participants (46%) mapped more than the suggested number of markers (20)
450 suggesting reasonably good data quality. We found some evidence that mapping effort was
451 greater in the *facilitated* versus *self-administered* mode of participation, suggesting somewhat

452 lower quality in the self-administered group, but the evidence was weak given the small
453 sample size of self-administered participants. For comparison, in a larger meta-study of
454 mapping effort, Brown (2016) found greater mapping effort in participatory mapping studies
455 that used purposive sampling (most similar to *facilitated* mode in this study) over volunteer
456 sampling (similar to *self-administered* mode in this study), but there was a high degree of
457 inter-study variability with some studies showing greater mapping effort among volunteers.
458 Participant motivation likely plays a significant role in mapping effort. We speculate that
459 greater feedback in the mapping process through pop-up boxes and/or real-time marker
460 gauges could potentially increase participant mapping effort, but encouraging participants to
461 identify more place attributes based on limited experience could reduce overall data quality.

462 In the second measure of data quality, logical consistency of mapped spatial attributes
463 with zoning classifications, we found a somewhat higher level of marker consistency in
464 *facilitated* implementation. However, the results were more suggestive than definitive. The
465 presence of a facilitator may encourage participants to be more deliberate in the placement of
466 their markers, but the effect of facilitation on logical consistency was small, and arguably,
467 inconsequential to the overall mapped results. The larger question is why both PGIS
468 participants, regardless of implementation mode, placed markers that appear less than 50
469 consistent with the existing land use zoning classifications for agricultural and residential land
470 use. There are two plausible explanations. The first is that participants were not directed to
471 map place values that reflect current land use zoning, but rather to map place values that were
472 important to the participant. Thus, markers could represent future, desirable land uses rather
473 than current land uses. For example, land may be currently zoned for agriculture but may be
474 valued by the participant more for residential development. The second explanation is that
475 participants were not provided with a current land use zoning map in the PGIS application as
476 an overlay. Participants mapped locations without the knowledge of current zoning
477 boundaries in the study region.

478

479 **Conclusion**

480

481 The impetus for this study was the prevailing view that the current practice of eliciting
482 public participation for land use planning in Malaysia was ineffective owing to a number of
483 barriers, including inadequate channels for participation and transparency in decision-making
484 processes (Dola and Mijan, 2006). PGIS should not be viewed as a panacea for participation
485 deficiencies in Malaysia because PGIS will not resolve the fundamental issue of trust in

486 authority that is required for effective participation outcomes. However, PGIS can address
487 one important barrier to effective public participation in Malaysia which is the lack of public
488 awareness and knowledge of land use planning. Our results suggest that PGIS has the
489 potential to act as a capacity-building process to enhance public participation in a developing
490 country context by increasing knowledge about place and land use while enhancing spatial
491 technology skills for information collection.

492 In Malaysia, the Town and Country Planning Act (1976) states that the planning
493 department is obligated to find ways to educate and inform the public about land use
494 planning. Passive modes of public engagement with land use planning in Malaysia have not
495 been effective in the past. PGIS represents an active mode of public engagement that can
496 overcome barriers to participation, but it will require planning authorities leave the comfort
497 zone of passive engagement and embrace a more active role in capacity building for public
498 participation. The *facilitated* mode of PGIS appears well-suited to Malaysia because it can
499 achieve high participation rates while increasing knowledge about land use planning.
500 Implementation of *facilitated* PGIS will require more time and resources than *self-*
501 *administered* PGIS, but the costs appear modest relative to the benefits. A further benefit of
502 *facilitated* PGIS is the greater probability of gaining participation from minority and
503 marginalized groups which is a core PGIS principle (Brown, 2012a). While usefulness of the
504 PGIS spatial data for planning decision support in Malaysia is significant and described
505 elsewhere (Zolkafli et al., 2017), the educational and information benefits of PGIS alone merit
506 further trials. Post-mapping interviews with Malaysian planning authorities support this view.
507 Future research should expand PGIS trials in Malaysia and other developing countries to
508 determine whether the capacity-building benefits found in study can be replicated to increase
509 the external validity of the findings. Further, additional research should be undertaken to
510 determine the levels of trust in participants and planning authorities in the PGIS process as
511 this variable appears most critical for the adoption of PGIS methods.

512

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Table 1. Pre- and post-mapping results for perceived change in knowledge about place, land use planning, and use of Google Maps.

Survey question	Pre-mapping				Post-mapping				Z score	p value
	% Excellent or Good	% Below average or Poor	Mean ^a	SD	% Excellent or Good	% Below average or Poor	Mean ^a	SD		
<i>Knowledge of Places (H1)</i> How would you rate your knowledge of places in the state of Perlis?	26.0	9.7	3.2	.63	82.1	1.6	3.8	.54	-12.2	0.000
<i>Knowledge of Planning (H2)</i> How would you rate your knowledge of land use planning?	11.0	20.1	2.9	.59	74.4	3.6	3.7	.62	-12.7	0.000
<i>Knowledge of using Google Maps (H3)</i> How would you rate your knowledge of using Google Maps?	15.9	37.3	2.8	.82	68.5	1.6	3.7	.61	-12.6	0.000

^a Means were calculated from a five-point Likert scale with responses as follows: '1'— Poor; '2'— Below average; '3'— Average; '4'— Good; '5'— Excellent.

Table 2. Perceived cognitive challenge, the effect of place familiarity, and useability by PGIS implementation mode (*facilitated* vs. *self-administered*).

Survey Item	Facilitated (N=292)			Self-administered (N=24)			Mann-Whitney U statistic	p value
	% Agree or Strongly agree	Mean ^a	SD	% Agree or Strongly agree	Mean ^a	SD		
<i>Cognitive Challenge (H4)</i>								
a. Identifying the values for places in Perlis was a difficult task.	73.1	3.52	1.02	66.7	3.55	.80	-0.53	0.595
b. Identifying development preferences in Perlis was a difficult task.	77.7	3.62	.95	76.2	3.64	.95	-0.016	0.988
c. Identifying areas not suitable for development was a difficult task.	73.4	3.57	.96	81.0	3.68	.84	-0.461	0.645
<i>Effect of Place Familiarity (H5)</i>								
a. I would have identified more values if I were more familiar with the area.	97.2	4.63	.58	94.7	4.28	.87	-2.257	0.024
b. I would have identified more development preferences if I were more familiar with the area.	98.3	4.67	.52	100.0	4.36	.58	-2.699	0.007
<i>Usability (H6)</i>								
a. The instructions provided were clear and easy to follow	96.9	4.56	.57	95.0	4.07	.85	-3.279	0.001**
b. The ideas or objects represented by the icons were clear to me	97.9	4.55	.54	95.0	4.16	.89	-2.284	0.022*
c. The icon definitions were easy to understand.	98.2	4.57	.55	85.7	3.96	1.13	-3.090	0.002*
d. The Google map was easy to use and navigate	94.8	4.50	.64	81.0	3.86	1.17	-3.029	0.002
e. Overall, the website was easy to use.	99.3	4.60	.50	95.0	4.21	.74	-2.766	0.006

^a Means are based on a five-point Likert scale with responses as follows: '1'—strongly disagree; '2'—disagree; '3'—neither disagree nor agree; '4'—agree; '5'—strongly agree.

Table 3. Mapping effort and consistency by PGIS implementation mode (*facilitated* vs. *self-administered*).

		PGIS implementation				X^2	p value
		<i>Facilitated</i>		<i>Self-administered</i>			
		Count	Percent	Count	Percent		
Mapping effort (H7)	21 and above	135	46.2	7	29.2	2.61	0.079
	20 and below	157	53.8	17	70.8		
	Total individuals	292	100.0	24	100.0		
Mapping consistency (H8)	Consistent	1559	46.8	56	45.8	20.62	0.000
	Not consistent	1776	53.3	132	54.2		
	Total markers	3335	100.00	188	100.00		

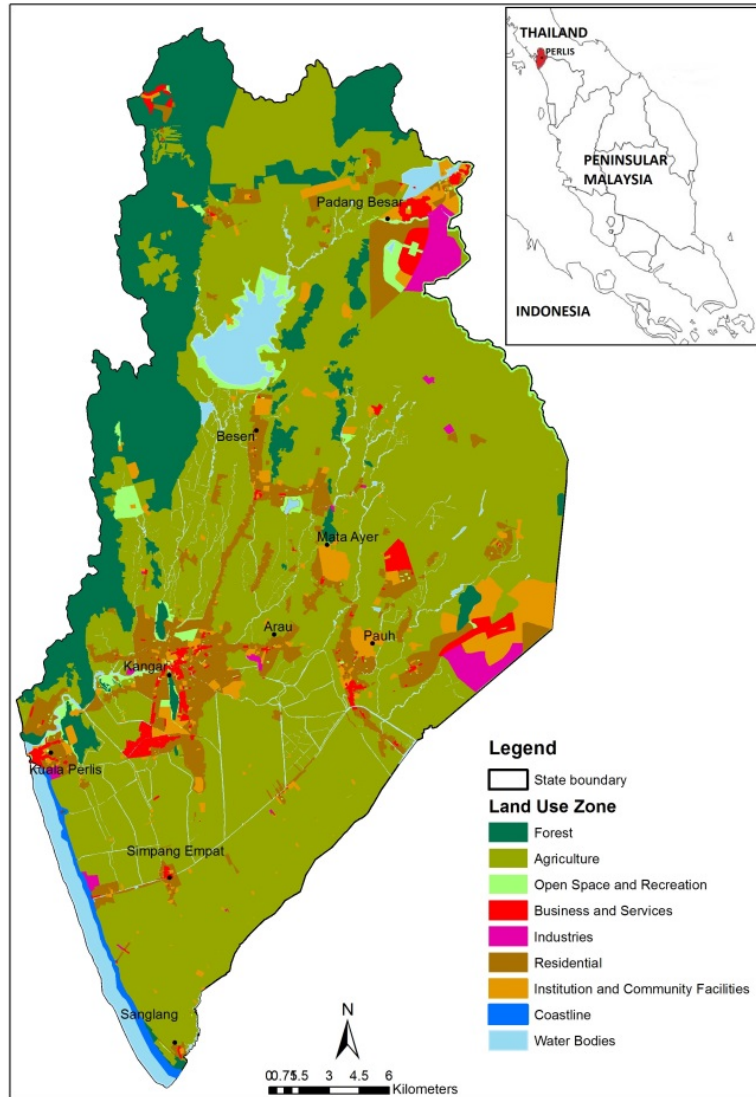


Figure 1. State of Perlis and land use zones identified in the Kangar Municipal Council local plan.

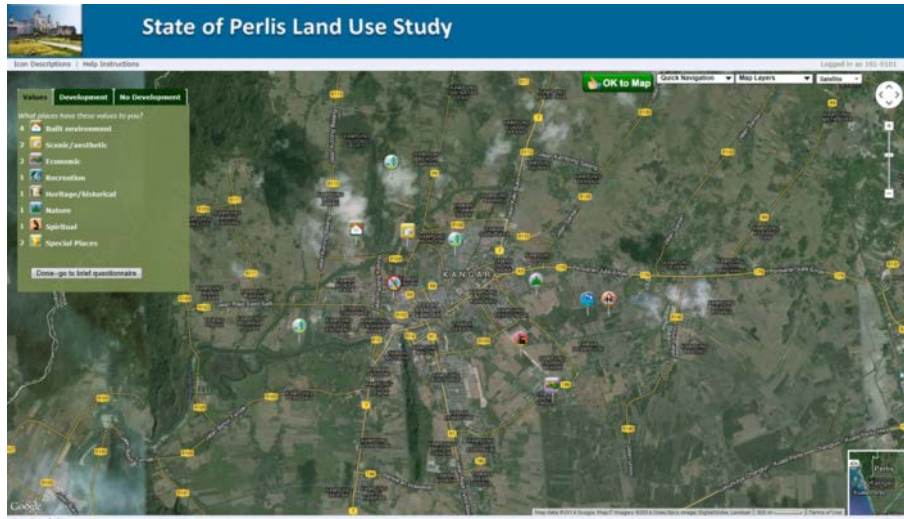


Figure 2 Screenshot of the PGIS mapping page. Source: http://www.landscapemap2.org/perlis/map_bm.php